

Chapter DFI-Sec 27**GENERAL PROVISIONS**

DFI-Sec 27.01 Fees.

History: Emergency rules covering general subject matter were adopted effective July 1, 1972. Chapter SEC 27 was renumbered chapter DFI-Sec 27 under s. 13.93 (2m) (b) 1., Stats., and corrections made under s. 13.93 (2m) (b) 6. and 7., Stats., [Register, December, 1996, No. 492](#).

DFI-Sec 27.01 Fees. The following fees, prescribed for the examination of various matters arising under ch. [552](#), Stats., are chargeable to the person filing or the registrant, and are payable, unless otherwise provided by the division, at the time the required form or solicitation material is filed:

- (1) Ownership information matters filed pursuant to s. [552.03](#), Stats.:
- (a) Form TO-1 Statement of Ownership Information . \$100
 - (b) Statement prescribed in section 13 (d) of the securities exchange act of 1934

(c) Form TO-2 Statement of Ownership Information .	<u>\$100</u>
(d) Amendment to Statement of Ownership Information	<u>\$100</u>
(2) Examination of statement filed under s. DFI-Sec 26.01 (1)	<u>\$50</u>
(3) Examination of solicitation materials filed by an offeror,target company or other person—Per Item	<u>\$10</u>
(4) Application for issuance of an interpretive opinion	<u>\$500</u>
(6) Application for issuance of exemption order under ch. 552 ,Stats.	<u>\$200</u>

History: Cr. [Register, October, 1972, No. 202](#), eff. 11-1-72; cr. (5), [Register, December, 1979, No. 288](#), eff. 1-1-80; am. (4) and (5), cr. (6), [Register, December, 1986, No. 372](#), eff. 1-1-87; r. and recr. [Register, December, 1988, No. 396](#), eff. 1-1-89; r. (5), [Register, April, 1998, No. 508](#), eff. 5-1-98.